

3763

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** Stellan, et al.      **EXAMINER:** Michael M. Thompson  
**SERIAL NO.:** 09/981,178      **GROUP ART UNIT:** 3763  
**FILED:** October 16, 2001      **DATED:** April 10, 2003  
**FOR:** TROCAR SYSTEM  
 AND METHOD OF USE

RECEIVED  
 APR 21 2003  
 TECHNOLOGY CENTER R3700

Assistant Commissioner for Patents  
 Washington, D.C. 20231

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	20	MINUS 20	=	X 9 \$	X 18 \$ 0
INDEP.	3	MINUS 3	=	X 42 \$	X 84 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 135 \$	X 270 \$ 0
				TOTAL	OR TOTAL \$ 0
				ADDIT. FEE	\$ -0-

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner of Patents, Washington, D.C. 20231 on April 10, 2003.

Dated: April 10, 2003

Adrienne Fagan

☐ Please charge Deposit Account No. 50-2140 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.

☐ A check in the amount of \$\_\_\_\_ is enclosed.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-2140. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-2140 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



Raymond E. Farrell

Reg. No. 34,816

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP  
445 Broad Hollow Road  
Suite 225  
Melville, New York 11747  
Tel.: (631) 501-5700  
Fax: (631) 501-3526

REF/af



Attorney Docket: 203-2519DIV(2334DIV)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Stellon, et al. **EXAMINER:** Michael M. Thompson  
**SERIAL NO.:** 09/981,178 **GROUP ART UNIT:** 3763  
**FILED:** October 16, 2001 **DATED:** April 10, 2003  
**FOR:** TROCAR SYSTEM  
AND METHOD OF USE

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
APR 21 2003  
TECHNOLOGY CENTER R3700

**SUPPLEMENTAL AMENDMENT AND REPLY TO NON-FINAL OFFICE ACTION**

**IN THE CLAIMS:**

The following listing of claims will replace all prior versions, and listings, of claims in the application:

Claim 1 (Previously Cancelled)

Sub D  
C  
2. (Previously Amended) A trocar system as recited in claim 3 wherein the movement of the release member causes the blocking surface to be displaced out of axial alignment with the shield.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "First Class Mail" addressed to: Assistant Commissioner for Patents.

Dated: April 10, 2003

*Adrienne Fagan*  
Adrienne Fagan